JC20 Rec'd PCT/PTO SEP 2 8 2001

(REV. 11-2000)		ATTORNET S DOCKET NUMBER								
TRANSMITTAL LETTER	0033-0770P									
DESIGNATED/ELECTE	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)									
CONCERNING A FILING	U9/\937831									
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED								
PCT/JP00/02058	March 30, 2000	March 31, 1999								
TITLE OF INVENTION										
OPTICAL PICKUP DEVICE										
APPLICANT(S) FOR DO/EO/US YOSHIDA, Shinya; SAEKI, Tetsuo										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
N74										
	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.									
This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.										
3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).										
4. The US has been elected by the expiration of 19 months from the priority date (Articles 31).										
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))										
b. As been transmitted by the International Bureau. WO 00/58960										
a. is transmitted herewith (required only if not transmitted by the International Bureau). b. has been transmitted by the International Bureau. WO 00/58960 c. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is transmitted herewith. b. has been previously submitted under 35 U.S.C. 154(d)(4) Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).										
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).										
a. \(\sigma\) is transmitted herewith.										
b. has been previously submitted under 35 U.S.C. 154(d)(4)										
Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).										
a. are transmitted herewith (required only if not transmitted by the International Bureau).										
b. have been transmitted by the International Bureau.										
c. have not been made; however, d. have not been made and will n		•								
An English language translation of the										
An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).										
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).										
Items 11. to 20. below concern document(s) or information included:										
	t under 37 CFR 1.97 and 1.98, Form PTO-144	9(s), and International Search Report								
(PCT/ISA/210) with 12 cited document 12. An assignment document for recording	eni(s). ng. A separate cover sheet in compliance with	37 CEP 3 28 and 3 31 is included								
13. A FIRST preliminary amendment.	ig. A separate cover sheet in comphance with	57 CT R 5.26 and 5.51 is included.								
· · · · · · · · · · · · · · · · · · ·	A SECOND or SUBSEQUENT preliminary amendment.									
15. A substitute specification.	-									
	A change of power of attorney and/or address letter.									
	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.									
-	A second copy of the published international application under 35 U.S.C. 154(d)(4).									
	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									
20. Other items or information:										
1.) International Preliminary Examin										
2.) Nine (9) Sheets of Formal Drawit3.) Article 34 Substitute Claims Lette		·								

U.S. APPLICATION NO (if known, see 37 C		INTERNATIONAL APPLICATION NO				ATTORNEY'S DOCKET NUMBER			
09/9ey	37831	PCT/JP00/02058			0033-0770P				
21. The following fees:	are submitted:				CAL	CULATIONS	PTO USE ONLY		
BASIC NATIONAL F									
Neither international preliminary examination fee (37 CFR 1.482)									
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO									
and International Sear	ch Report not prepare	d by the	EPO or JPO	\$1,000.00					
International preliminary examination fee (37 CFR 1.482) not paid to									
USPTO but International Search Report prepared by the EPO or JPO									
	Soulon Roport prop			-					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO									
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO									
	ination for (2)	7 CED 1	492) maid to LICDTO						
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)									
i	unisty provisions of r			40,0.00					
International preliminary examination fee (37 CFR 1.482) paid to USPTO									
F#-	-	•	1)-(4)	\$100.00	\$	860.00			
ENTER API	PROPRIATE B	ASIC I	FEE AMOUNT =		"				
Surcharge of \$130.00 fo	or furnishing the oath	or declar	ation later than 20	⊠ 30	\$	130.00	•		
months from the earlies			R 1.492(e)).		٥	130.00			
CLAIMS	NUMBER FILE	ED	NUMBER EXTRA	RATE					
Total Claims	11 - 20 =		0	X \$18.00	\$	0.00			
Independent Claims	1 - 3 =		0	· X \$80.00	\$	0.00			
MULTIPLE DEPENDE	ENT CLAIM(S) (if ap	plicable)	None	+ \$270.00	\$	0.00			
± is	T(TAL C	OF ABOVE CALCULA	TIONS =	\$	990.00			
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are					ì				
reduced by 1/2.					\$	0.00			
SUBTOTAL =					\$	990.00			
Processing fee of \$130.00 for furnishing the English translation later than 20 30					_	0.00	-		
months from the earliest claimed priority date (37 CFR 1.492(f)).					\$	0.00			
TOTAL NATIONAL FEE =					\$	990.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be					\$	0.00			
accompanied by an app	ropriate cover sheet (37 CFR 3	3.28, 3.31). \$40.00 per proj		\$				
TOTAL FEES ENCLOSED =						990.00			
						Amount to be: refunded	\$		
						charged	s		
			• ••			viiii gee	<u> </u>		
a. A check in the amount of \$ 990.00 to cover the above fees is enclosed.									
b. Please charge my Deposit Account. No in the amount of \$ to cover the above fees.									
A duplicate copy of this sheet is enclosed.									
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2448.									
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.									
1.13/(a) or (b)) mus	t be filed allu grante	u to rest	ore the application to pen	iding status.					
Send all correspondence to:									
Birch, Stewart, Kolasch & Birch, LLP or Customer No. 2292 P.O. Box 747									
F.O. Box 747 Falls Church, VA 22040-0747									
(703)205-8000				/.		1)//			
			. /		///	14/15	<i>[</i> ,		
Date: <u>September 28</u> ,	, 2001			By / M		rch #10 382			
7 Fichen C. Bitch #17,302									
/rem									

PATENT 0033-0770P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant:

YOSHIDA, Shinya et al.

Conf.:

Appl. No.:

New

Group:

Filed:

September 28, 2001

Examiner:

For:

OPTICAL PICKUP DEVICE

DRAWING CORRECTION AUTHORIZATION REQUEST

Assistant Commissioner for Patents Washington, DC 20231

September 28, 2001

Sir:

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The state

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Applicant respectfully requests the Examiner's authorization of the drawing corrections shown in red ink on the attached sheet(s) as follows:

New matter has been added by these changes. One (1) sheet of formal drawings incorporating this change is attached.

TCB/rem

0033-0770P

410 Red PCT/PTQ 9 8 SEP 2001

Appl. No. 0033-0770P

If necessary, the Commissioner is hereby authorized in this, concurrent, and further replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

Terrell C. Birch, #19,382

P.O. Box 747

Falls Church, VA 22040-0747

(703) 205-8000

Attachments: Fig 4 and Fig. 5

(Rev. 01/22/01)